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NO. 4208 P. 2

Atty. Docket No.: PU3552US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Harris et al

Serial No.: 10/669,400

Examiner: S. Patel

Filing Date: September 23, 2003

Art Unit: 1624

Allowed: April 9, 2004

Confirmation No.: 3962

For: SUBSTITUTED AZA-OXINDOLE DERIVATIVES

Box Issue Fee
Commissioner for Patents
Washington D.C. 20231

AMENDMENT UNDER 37 C.F.R. 1.312

Sir:


This paper is filed, after receipt of Notice of Allowance and with payment of the Issue Fee, under 37 C.F.R. 1.312 to make of record an Information Disclosure Statement (IDS) and Forms 1449, which are of record in parent case 09/914,393 (U.S. Patent 6,624,171). Upon review of the subject application in preparation for payment of the Issue Fee, Applicants have noted that an IDS and forms 1449 that formally makes of record references cited in the parent application appears to not have been submitted to the Patent Office. It appears that the Examiner has considered such references (see paragraph 3 of the Reasons for Allowance). Applicants now wish to submit such IDS and forms 1449 to complete the record and request that Examiner return signed off copies of the same to Applicants.

Should any issues remain unresolved in this application which would bar issuance, the Examiner is invited to contact the undersigned Attorney at (919) 483-8247, to discuss such issues. Applicants believe that no fees are due in connection with the filing of this paper other than those specifically authorized herewith. However, should any other fees be deemed necessary to

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effect the timely filing of this paper the Commissioner is hereby authorized to charge such fees to Deposit Account No. 07-1392.

Respectfully submitted,


John L. Lemanowicz
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Date: 30 June, 2004
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